

1. **Parties.** This is a contract for personal services between the State of Vermont, Department of Vermont Health Access (hereafter called "State"), and the University of Vermont, with a principal place of business in Burlington, Vermont (hereafter called "Contractor"). The Contractor's form of business organization is a corporation. The Contractor's local address is University of Vermont, Sponsored Project Administration, 217 Waterman Building, Burlington, Vermont 05405. It is the Contractor's responsibility to contact the Vermont Department of Taxes to determine if, by law, the Contractor is required to have a Vermont Department of Taxes Business Account Number.
2. **Subject Matter.** The subject matter of this contract is personal services generally on the subject of developing and managing the Blueprint for Health annual conference. Detailed services to be provided by the Contractor are described in Attachment A.
3. **Maximum Amount.** In consideration of the services to be performed by the Contractor, the State agrees to pay the Contractor, in accordance with the payment provisions specified in Attachment B, a sum not to exceed **\$14,000.**
4. **Contract Term.** The period of the Contractor's performance shall begin on October 29, 2014 and end on August 30, 2015.
5. **Prior Approvals.** If approval by the Attorney General's Office or the Secretary of Administration is required, (under current law, bulletins, and interpretations), neither this contract nor any amendment to it is binding until it has been approved by either or both such persons.

Approval by the Attorney General's Office **is** required.

Approval by the Secretary of Administration **is not** required.

6. **Amendment.** No changes, modifications, or amendments in the terms and conditions of this contract shall be effective unless reduced to writing, numbered, and signed by the duly authorized representative of the State and the Contractor.
7. **Cancellation.** This contract may be cancelled by either party by giving written notice at least 30 days in advance. Notwithstanding this provision, if a governmental agency with due authority determines that a program or facility operated by the Contractor, wherein services authorized under this contract are provided, is not in compliance with State and Federal law or is operating with deficiencies, the State may terminate this contract immediately and notify the Contractor accordingly. Also, in the event that federal funds supporting this contract become unavailable or are reduced, the State may cancel this contract with no obligation to pay the Contractor from State revenues.

8. Contact Persons for this Award:

	<u>State Fiscal Manager</u>	<u>State Program Manager</u>	<u>For the Contractor</u>
Name:	Natalie Elvidge	Miki Hazard	Jennifer Gagnon
Phone #:	802-879-7956	802-872-7534	207-620-8526 ext. 1009
E-mail:	Natalie.Elvidge@state.vt.us	Miki.Hazard@state.vt.us	Jennifer.Gagnon@uvm.edu

9. **Attachments.** This contract consists of 15 pages including the following attachments, which are

incorporated herein:

Attachment A - Specifications of Work to be Performed

Attachment B - Payment Provisions

Attachment C - Customary State Contract provisions

Attachment F - Customary Contract Provisions of the Agency of Human Services

The order of precedence of documents shall be as follows:

- 1). This document
- 3). Attachment C
- 4). Attachment A
- 5). Attachment B
- 6). Attachment E (if any)
- 7). Attachment F
- 8). Other Attachments (if any)

WE THE UNDERSIGNED PARTIES AGREE TO BE BOUND BY THIS CONTRACT.

BY THE STATE OF VERMONT:

BY THE CONTRACTOR:

MARK LARSON, COMMISSIONER DATE
312 HURRICANE LANE
WILLISTON, VT 05495
DEPARTMENT OF VERMONT HEALTH ACCESS
MARK.LARSON@STATE.VT.US

JENNIFER GAGNON, DIRECTOR DATE
SPONSORED PROGRAM ADMINISTRATION
UNIVERSITY OF VERMONT
JENNIFER.GAGNON@UVM.EDU
207-620-8526 ext. 1009

ATTACHMENT A SPECIFICATIONS OF WORK TO BE PERFORMED

The Contractor will conduct a conference to enhance awareness of the Blueprint for Health through the University of Vermont's Continuing Medical Education Program (UVM CME). The Contractor will coordinate this activity with input from the State. The State will choose the speakers for this event. The Contractor will assume responsibility for all arrangements and costs for the conference. From execution of this agreement through August 30, 2015, the Contractor will arrange all aspects of the annual Blueprint for Health conference.

- 1) The Contractor shall provide a location/venue for the conference and propose potential available dates to the State for approval by January 1, 2015. Once a date is selected and confirmed, if the State requires an alternate date in the event that chosen speakers are not available on the original date or another such issue arises that necessitates a date change, then the state will assume responsibility for cancellation fees which may be assessed by the venue and understands that availability of dates may be reduced. The Contractor will procure the location and venue using the Contractor's procurement policies. The State will have veto authority over the location and venue.
- 2) By November 30, 2014, the State and the Contractor shall have met to set out a project plan for the upcoming conference. Both parties shall adhere to the Contract Deliverables Timeline (pages 5 and 6).
- 3) By December 15, 2014, the Contractor will distribute Save the Date communications to potential parties attending the conference. The distribution/invitee list includes, but is not limited to:
 - All UVM CME past participants for whom the Contractor has email addresses
 - All past exhibitors and vendors, including pharmaceutical companies for whom the Contractor has email addresses
 - All individuals who have inquired about any UVM CME primary care conferences in general, as well as the Blueprint for Health annual conference specifically, over the past two (2) years for whom the Contractor has email addresses
 - All physicians and other health professionals who have referred patients to Fletcher Allen Health Care (recently renamed to The University of Vermont Medical Center) for whom the Contractor has email addresses
 - All physicians licensed in Vermont, including those licensed within the past year, for whom the Contractor has email addresses.
 - All UVM COM MDs and Medical employees with advanced degrees
- 4) The Contractor will provide breakfast, snacks, and lunches, if requested, for conference participants. The Contractor will provide a menu for these meals and an estimated cost associated with these meals to the State for approval. The Contractor agrees that meal/menu selections will be adjusted down if conference income is not as high as anticipated or if the State does not approve the cost associated with meals and snacks.
- 5) The State will choose the plenary speakers and theme for the conference by January 1, 2015, and the Contractor will make all arrangements for the speakers to attend. If airfare is required, airfare will be covered at coach rate. The Contractor will select the lodging for the speakers at a nearby hotel using a

negotiated discount contract rate. By March 1, 2015, the Contractor will provide confirmation that the chosen speakers have been secured for the date and that the Contractor is in communication with the speakers about travel arrangements, preferably with final bookings in place.

- 6) By January 15, 2015, the State shall submit a final agenda to CME for approval. The Contractor shall review the State's submission for Continuing Education Units (CEUs) to ensure the conference meets requirements for nursing, social workers, licensed alcohol and drug abuse counselors, professional care managers, and primary care physicians. By March 1, 2015, the Contractor shall contact all interested parties with the final agenda of the conference and any other pertinent information.
- 7) By March 1, 2015, the Contractor will submit a proposed total conference budget to the State for approval.
- 8) The Contractor will advertise the conference by mailing the UVM College of Medicine Continuing Medical Education Schedule of Events 2015 to the Contractor's past participant list, which includes healthcare professionals. All programs listed on the schedule of events divide the cost of mailing equally. The UVM CME Annual Calendar shall be sent by mail to:
 - All UVM CME past participants
 - All past exhibitors and vendors, including pharmaceutical companies
 - All individuals who have inquired about any UVM CME primary care conferences in general, as well as the Blueprint for Health annual conference specifically, over the past two (2) years
 - All physicians and other health professionals who have referred patients to Fletcher Allen Health Care (recently renamed to The University of Vermont Medical Center) for whom the Contractor has email addresses
 - All physicians licensed in Vermont, including those licensed within the past year, for whom the Contractor has email addresses.
 - All UVM College of Medicine MDs and Medical employees with advanced degrees

The Contractor shall seek the State's input as to other proposed methods for advertising the conference and receive approval from the State for any associated costs prior to proceeding.

- 9) The Contractor will review registration for the conference on March 15, 2015, and the Contractor will then report the number of registrants to the State on March 15, 2015. The State will determine if the number of individuals registered warrants holding the conference. If the State determines that there are not a sufficient number of registrants to hold the conference, the State will reimburse the Contractor their actual costs incurred through March 15, 2015, and the State will be liable for the cancellation fees assessed by the reserved venue/location.
- 10) The Contractor will collect:
 - a) Tuition/Registration fees
 - b) Donations
 - c) Commercial Support/Exhibitor Fees
 - d) Any other income
- 11) The Contractor will keep receipts for all funds collected while preparing for the conference. The Contractor will use the collected funds to pay for the conference prior to accessing contract funds. Registration fees for the conference are as follows:
 - a) \$75.00 for general admission

- b) \$100.00 for RN's and other health care professionals requesting Continuing Education Credits (CECs), if available
- c) \$150.00 for physicians requesting CMEs.

The cost of Contact Hours and CMEs are to be paid for by the Contractor. Contact Hours and CMEs are awarded on an hour for hour basis. It is anticipated that a total of 60 healthcare professionals will request Contact Hours or CMEs. There are no restrictions regarding donations.

Non-profit exhibitors are charged a fee of \$350.00. For-profit exhibitors are charged a fee of \$650.00.

- 12) The Contractor will solicit conference evaluations from participants after the conference. A summarized report of the evaluations received by the Contractor will be due to the State no later than June 1, 2015.
- 13) A conference program and financial report is due to the State no later than August 15, 2015. The financial report should reconcile the approved budget and should indicate the total cost of the conference by including:
 - a) Contract funds
 - b) Tuition/registration fees
 - c) Donations
 - d) Commercial support
 - e) Exhibitor fees
 - f) Any other income.

The program report should address the numbered deliverables (1 through 12) above. The conference program report shall include a detailed summary of the attendees, listing:

- i. Total number of attendees
- ii. Number of physician attendees and, of those, the number that requested CMEs
- iii. Number of RN attendees and, of those, the number that requested Continuing Education Units (CEUs)
- iv. Number of social worker attendees and, of those, the number that requested CEUs
- v. Number of licensed alcohol and drug abuse counselor attendees and, of those, the number that requested CEUs
- vi. Number of professional case manager attendees and, of those, the number that requested CEUs
- vii. Number of other allied health professional attendees and, of those, the number that requested CEUs

Contract Deliverables Timeline	
Initial meeting of the Contractor and the State to develop a project plan	November 30, 2014
The Contractor and the State shall collaborate to send participants "Save the Date" notifications	December 15, 2014

Contract Deliverables Timeline	
The Contractor will have a confirmed date and venue/location for the conference approved by the State.	January 1, 2015
The State will choose the plenary speakers and theme for the conference	January 1, 2015
The Contractor shall provide confirmation that the chosen speakers have been secured for the date and that the Contractor is in communication with the speakers about travel arrangements, preferably with final bookings in place.	March 1, 2015
The State shall submit a final agenda to UVM CME	January 15, 2015
Within two (2) weeks of the agenda submission, the Contractor shall contact all interested parties with the final agenda of the conference and any pertinent related information, such as advertising materials agreed to by the State	March 1, 2015
The Contractor will submit a proposed total conference budget to the State for approval	March 1, 2015
The Contractor shall submit to the State confirmation of registration numbers for the conference. The State will determine if the number of individuals registered warrants holding the conference. If the State determines that there are not a sufficient number of registrants to hold the conference, the State will reimburse the Contractor their actual costs incurred through March 15, 2015, and the State will be liable for the cancellation fees assessed by the reserved venue/location.	March 15, 2015
The Contractor shall host the annual Blueprint for Health conference	TBD as approved by the State
The Contractor shall submit a summarized report of the conference evaluation and the post-conference survey to the State	June 1, 2015
The Contractor shall submit a conference program and financial report to the State	August 15, 2015

**ATTACHMENT B
PAYMENT PROVISIONS**

The maximum dollar amount payable under this agreement is not intended as any form of a guaranteed amount. The Contractor will be paid for products or services actually performed as specified in Attachment A up to the maximum allowable amount specified in this agreement. State of Vermont payment terms are Net 30 days from date of invoice, and payments against this contract will comply with the State's payment terms. The payment schedule for delivered products, rates for services performed, and any additional reimbursements are included in this attachment. The following provisions specifying payments are:

1. A conference program and financial report is due to the State no later than August 15, 2015. The program report should address all numbered deliverables in Attachment A as well as the number of final attendees. The financial report should reconcile the approved budget and should indicate the total cost of the conference by including:
 - a) Contract funds
 - b) Tuition/registration fees
 - c) Donations
 - d) Commercial support
 - e) Exhibitor fees
 - f) Any other income.
2. Upon receipt and acceptance of the conference program report, financial report, and invoice, the State will provide payment for actual expenses up to \$14,000.00.
3. Reports should be submitted electronically to:

Miki Hazard
Miki.Hazard@state.vt.us

An electronic copy of all reports and invoices with original signatures should be sent to:

Natalie Elvidge
Natalie.Elvidge@state.vt.us
4. No benefits or insurance will be reimbursed by the State.
5. The total maximum amount payable under this contract shall not exceed \$14,000.00.

Approved Budget for October 15, 2014 to August 30, 2015:

OPERATING	
Conference Preparation Deliverables	\$14,000
TOTAL CONTRACT AMOUNT	\$14,000

ATTACHMENT C
STANDARD STATE PROVISIONS FOR CONTRACTS AND GRANTS

- 1. Entire Agreement:** This Agreement, whether in the form of a Contract, State Funded Grant, or Federally Funded Grant, represents the entire agreement between the parties on the subject matter. All prior agreements, representations, statements, negotiations, and understandings shall have no effect.
- 2. Applicable Law:** This Agreement will be governed by the laws of the State of Vermont.
- 3. Definitions:** For purposes of this Attachment, "Party" shall mean the Contractor, Grantee or Subrecipient, with whom the State of Vermont is executing this Agreement and consistent with the form of the Agreement.
- 4. Appropriations:** If this Agreement extends into more than one fiscal year of the State (July 1 to June 30), and if appropriations are insufficient to support this Agreement, the State may cancel at the end of the fiscal year, or otherwise upon the expiration of existing appropriation authority. In the case that this Agreement is a Grant that is funded in whole or in part by federal funds, and in the event federal funds become unavailable or reduced, the State may suspend or cancel this Grant immediately, and the State shall have no obligation to pay Subrecipient from State revenues.
- 5. No Employee Benefits For Party:** The Party understands that the State will not provide any individual retirement benefits, group life insurance, group health and dental insurance, vacation or sick leave, workers compensation or other benefits or services available to State employees, nor will the state withhold any state or federal taxes except as required under applicable tax laws, which shall be determined in advance of execution of the Agreement. The Party understands that all tax returns required by the Internal Revenue Code and the State of Vermont, including but not limited to income, withholding, sales and use, and rooms and meals, must be filed by the Party, and information as to Agreement income will be provided by the State of Vermont to the Internal Revenue Service and the Vermont Department of Taxes.
- 6. Independence, Liability:** The Party will act in an independent capacity and not as officers or employees of the State.

The Party shall defend the State and its officers and employees against all claims or suits arising in whole or in part from any act or omission of the Party or of any agent of the Party. The State shall notify the Party in the event of any such claim or suit, and the Party shall immediately retain counsel and otherwise provide a complete defense against the entire claim or suit.

After a final judgment or settlement the Party may request recoupment of specific defense costs and may file suit in Washington Superior Court requesting recoupment. The Party shall be entitled to recoup costs only upon a showing that such costs were entirely unrelated to the defense of any claim arising from an act or omission of the Party.

The Party shall indemnify the State and its officers and employees in the event that the State, its officers or employees become legally obligated to pay any damages or losses arising from any act or omission of the Party.

- 7. Insurance:** Before commencing work on this Agreement the Party must provide certificates of insurance to show that the following minimum coverages are in effect. It is the responsibility of the Party to maintain current certificates of insurance on file with the state through the term of the Agreement. No warranty is made that the coverages and limits listed herein are adequate to cover and protect the interests of the Party for the Party's operations. These are solely minimums that have been established to protect the interests of the State.

Workers Compensation: With respect to all operations performed, the Party shall carry workers' compensation insurance in accordance with the laws of the State of Vermont.

General Liability and Property Damage: With respect to all operations performed under the contract, the Party shall carry general liability insurance having all major divisions of coverage including, but not limited to:

Premises - Operations
Products and Completed Operations
Personal Injury Liability
Contractual Liability

The policy shall be on an occurrence form and limits shall not be less than:

\$1,000,000 Per Occurrence
\$1,000,000 General Aggregate
\$1,000,000 Products/Completed Operations Aggregate
\$ 50,000 Fire/ Legal/Liability

Party shall name the State of Vermont and its officers and employees as additional insureds for liability arising out of this Agreement.

Automotive Liability: The Party shall carry automotive liability insurance covering all motor vehicles, including hired and non-owned coverage, used in connection with the Agreement. Limits of coverage shall not be less than: \$1,000,000 combined single limit.

Party shall name the State of Vermont and its officers and employees as additional insureds for liability arising out of this Agreement.

Professional Liability: Before commencing work on this Agreement and throughout the term of this Agreement, the Party shall procure and maintain professional liability insurance for any and all services performed under this Agreement, with minimum coverage of \$N/A per occurrence, and \$N/A aggregate.

- 8. Reliance by the State on Representations:** All payments by the State under this Agreement will be made in reliance upon the accuracy of all prior representations by the Party, including but not limited to bills, invoices, progress reports and other proofs of work.
- 9. Requirement to Have a Single Audit:** In the case that this Agreement is a Grant that is funded in whole or in part by federal funds, the Subrecipient will complete the Subrecipient Annual Report annually within 45 days after its fiscal year end, informing the State of Vermont whether or not a Single Audit is required for the prior fiscal year. If a Single Audit is required, the Subrecipient will submit a copy of the audit report to the granting Party within 9 months. If a single audit is not required, only the Subrecipient Annual Report is required.

For fiscal years ending before December 25, 2015, a Single Audit is required if the subrecipient expends \$500,000 or more in federal assistance during its fiscal year and must be conducted in accordance with OMB Circular A-133. For fiscal years ending on or after December 25, 2015, a Single Audit is required if the subrecipient expends \$750,000 or more in federal assistance during its fiscal year and must be conducted in accordance with 2 CFR Chapter I, Chapter II, Part 200, Subpart F. The Subrecipient Annual Report is required to be submitted within 45 days, whether or not a Single Audit is required.

- 10. Records Available for Audit:** The Party shall maintain all records pertaining to performance under this agreement. "Records" means any written or recorded information, regardless of physical form or characteristics, which is produced or acquired by the Party in the performance of this agreement. Records produced or acquired in a machine readable electronic format shall be maintained in that format. The records described shall be made available at reasonable times during the period of the Agreement and for three years thereafter or for any period required by law for inspection by any authorized representatives of the State or Federal Government. If any litigation, claim, or audit is started before the expiration of the three year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.
- 11. Fair Employment Practices and Americans with Disabilities Act:** Party agrees to comply with the requirement of Title 21 V.S.A. Chapter 5, Subchapter 6, relating to fair employment practices, to the full extent applicable. Party shall also ensure, to the full extent required by the Americans with Disabilities Act of 1990, as amended, that qualified individuals with disabilities receive equitable access to the services, programs, and activities provided by the Party under this Agreement. Party further agrees to include this provision in all subcontracts.
- 12. Set Off:** The State may set off any sums which the Party owes the State against any sums due the Party under this Agreement; provided, however, that any set off of amounts due the State of Vermont as taxes shall be in accordance with the procedures more specifically provided hereinafter.
- 13. Taxes Due to the State:**
- a. Party understands and acknowledges responsibility, if applicable, for compliance with State tax laws, including income tax withholding for employees performing services within the State, payment of use tax on property used within the State, corporate and/or personal income tax on income earned within the State.
 - b. Party certifies under the pains and penalties of perjury that, as of the date the Agreement is signed, the Party is in good standing with respect to, or in full compliance with, a plan to pay any and all taxes due the State of Vermont.
 - c. Party understands that final payment under this Agreement may be withheld if the Commissioner of Taxes determines that the Party is not in good standing with respect to or in full compliance with a plan to pay any and all taxes due to the State of Vermont.
 - d. Party also understands the State may set off taxes (and related penalties, interest and fees) due to the State of Vermont, but only if the Party has failed to make an appeal within the time allowed by law, or an appeal has been taken and finally determined and the Party has no further legal recourse to contest the amounts due.
- 14. Child Support:** (Applicable if the Party is a natural person, not a corporation or partnership.) Party states that, as of the date the Agreement is signed, he/she:
- a. is not under any obligation to pay child support; or
 - b. is under such an obligation and is in good standing with respect to that obligation; or
 - c. has agreed to a payment plan with the Vermont Office of Child Support Services and is in full compliance with that plan.

Party makes this statement with regard to support owed to any and all children residing in Vermont. In addition, if the Party is a resident of Vermont, Party makes this statement with regard to support owed to any and all children residing in any other state or territory of the United States.

- 15. Sub-Agreements:** Party shall not assign, subcontract or subgrant the performance of this Agreement or any portion thereof to any other Party without the prior written approval of the State. Party also agrees to include in all subcontract or subgrant agreements a tax certification in accordance with paragraph 13 above.
- 16. No Gifts or Gratuities:** Party shall not give title or possession of any thing of substantial value (including property, currency, travel and/or education programs) to any officer or employee of the State during the term of this Agreement.
- 17. Copies:** All written reports prepared under this Agreement will be printed using both sides of the paper.
- 18. Certification Regarding Debarment:** Party certifies under pains and penalties of perjury that, as of the date that this Agreement is signed, neither Party nor Party's principals (officers, directors, owners, or partners) are presently debarred, suspended, proposed for debarment, declared ineligible or excluded from participation in federal programs, or programs supported in whole or in part by federal funds.
- Party further certifies under pains and penalties of perjury that, as of the date that this Agreement is signed, Party is not presently debarred, suspended, nor named on the State's debarment list at:
<http://bgs.vermont.gov/purchasing/debarment>
- 19. Certification Regarding Use of State Funds:** In the case that Party is an employer and this Agreement is a State Funded Grant in excess of \$1,001, Party certifies that none of these State funds will be used to interfere with or restrain the exercise of Party's employee's rights with respect to unionization.

ATTACHMENT F
AGENCY OF HUMAN SERVICES' CUSTOMARY CONTRACT PROVISIONS

1. **Agency of Human Services – Field Services Directors** will share oversight with the department (or field office) that is a party to the contract for provider performance using outcomes, processes, terms and conditions agreed to under this contract.
2. **2-1-1 Data Base:** The Contractor providing a health or human services within Vermont, or near the border that is readily accessible to residents of Vermont, will provide relevant descriptive information regarding its agency, programs and/or contact and will adhere to the "Inclusion/Exclusion" policy of Vermont's United Way/Vermont 211. If included, the Contractor will provide accurate and up to date information to their data base as needed. The "Inclusion/Exclusion" policy can be found at www.vermont211.org

3. **Medicaid Program Contractors:**

Inspection of Records: Any contracts accessing payments for services through the Global Commitment to Health Waiver and Vermont Medicaid program must fulfill state and federal legal requirements to enable the Agency of Human Services (AHS), the United States Department of Health and Human Services (DHHS) and the Government Accounting Office (GAO) to:

Evaluate through inspection or other means the quality, appropriateness, and timeliness of services performed; and Inspect and audit any financial records of such Contractor or subcontractor.

Subcontracting for Medicaid Services: Having a subcontract does not terminate the Contractor, receiving funds under Vermont's Medicaid program, from its responsibility to ensure that all activities under this agreement are carried out. Subcontracts must specify the activities and reporting responsibilities of the Contractor or subcontractor and provide for revoking delegation or imposing other sanctions if the Contractor or subcontractor's performance is inadequate. The Contractor agrees to make available upon request to the Agency of Human Services; the Department of Vermont Health Access; the Department of Disabilities, Aging and Independent Living; and the Center for Medicare and Medicaid Services (CMS) all contracts and subcontracts between the Contractor and service providers.

Medicaid Notification of Termination Requirements: Any Contractor accessing payments for services under the Global Commitment to Health Waiver and Medicaid programs who terminates their practice will follow the Department of Vermont Health Access, Managed Care Organization enrollee notification requirements.

Encounter Data: Any Contractor accessing payments for services through the Global Commitment to Health Waiver and Vermont Medicaid programs must provide encounter data to the Agency of Human Services and/or its departments and ensure that it can be linked to enrollee eligibility files maintained by the State.

Federal Medicaid System Security Requirements Compliance: All contractors and subcontractors must provide a security plan, risk assessment, and security controls review document within three months of the start date of this agreement (and update it annually thereafter) to support audit compliance with 45CFR95.621 subpart F, *ADP (Automated Data Processing) System Security Requirements and Review Process*.

4. **Non-discrimination Based on National Origin as evidenced by Limited English Proficiency.** The Contractor agrees to comply with the non-discrimination requirements of Title VI of the Civil Rights Act of 1964, 42 USC Section 2000d, et seq., and with the federal guidelines promulgated pursuant to Executive Order 13166 of 2000, which require that contractors and subcontractors receiving federal funds must assure that persons with limited English proficiency can meaningfully access services. To the extent the Contractor

provides assistance to individuals with limited English proficiency through the use of oral or written translation or interpretive services in compliance with this requirement, such individuals cannot be required to pay for such services.

5. **Voter Registration.** When designated by the Secretary of State, the Contractor agrees to become a voter registration agency as defined by 17 V.S.A. §2103 (41), and to comply with the requirements of state and federal law pertaining to such agencies.
6. **Drug Free Workplace Act.** The Contractor will assure a drug-free workplace in accordance with 45 CFR Part 76.
7. **Privacy and Security Standards.**

Protected Health Information: The Contractor shall maintain the privacy and security of all individually identifiable health information acquired by or provided to it as a part of the performance of this contract. The Contractor shall follow federal and state law relating to privacy and security of individually identifiable health information as applicable, including the Health Insurance Portability and Accountability Act (HIPAA) and its federal regulations.

Substance Abuse Treatment Information: The confidentiality of any alcohol and drug abuse treatment information acquired by or provided to the Contractor or subcontractor shall be maintained in compliance with any applicable state or federal laws or regulations and specifically set out in 42 CFR Part 2.

Other Confidential Consumer Information: The Contractor agrees to comply with the requirements of AHS Rule No. 08-048 concerning access to information. The Contractor agrees to comply with any applicable Vermont State Statute, including but not limited to 12 VSA §1612 and any applicable Board of Health confidentiality regulations. The Contractor shall ensure that all of its employees and subcontractors performing services under this agreement understand the sensitive nature of the information that they may have access to and sign an affirmation of understanding regarding the information's confidential and non-public nature.

Social Security numbers: The Contractor agrees to comply with all applicable Vermont State Statutes to assure protection and security of personal information, including protection from identity theft as outlined in Title 9, Vermont Statutes Annotated, Ch. 62.

8. **Abuse Registry.** The Contractor agrees not to employ any individual, use any volunteer, or otherwise provide reimbursement to any individual in the performance of services connected with this agreement, who provides care, custody, treatment, transportation, or supervision to children or vulnerable adults if there is a substantiation of abuse or neglect or exploitation against that individual. The Contractor will check the Adult Abuse Registry in the Department of Disabilities, Aging and Independent Living. Unless the Contractor holds a valid child care license or registration from the Division of Child Development, Department for Children and Families, the Contractor shall also check the Central Child Protection Registry. (See 33 V.S.A. §4919(a)(3) & 33 V.S.A. §6911(c)(3)).
9. **Reporting of Abuse, Neglect, or Exploitation.** Consistent with provisions of 33 V.S.A. §4913(a) and §6903, any agent or employee of a Contractor who, in the performance of services connected with this agreement, has contact with clients or is a caregiver and who has reasonable cause to believe that a child or vulnerable adult has been abused or neglected as defined in Chapter 49 or abused, neglected, or exploited as defined in Chapter 69 of Title 33 V.S.A. shall make a report involving children to the Commissioner of the Department for Children and Families within 24 hours or a report involving vulnerable adults to the Division of Licensing and Protection at the Department of Disabilities, Aging, and Independent Living within 48 hours. This requirement applies except in those instances where particular roles and functions are exempt from reporting under state and federal law. Reports involving children shall contain the information required by 33 V.S.A. §4914. Reports involving

vulnerable adults shall contain the information required by 33 V.S.A. §6904. The Contractor will ensure that its agents or employees receive training on the reporting of abuse or neglect to children and abuse, neglect or exploitation of vulnerable adults.

10. **Intellectual Property/Work Product Ownership.** All data, technical information, materials first gathered, originated, developed, prepared, or obtained as a condition of this agreement and used in the performance of this agreement - including, but not limited to all reports, surveys, plans, charts, literature, brochures, mailings, recordings (video or audio), pictures, drawings, analyses, graphic representations, software computer programs and accompanying documentation and printouts, notes and memoranda, written procedures and documents, which are prepared for or obtained specifically for this agreement - or are a result of the services required under this grant - shall be considered "work for hire" and remain the property of the State of Vermont, regardless of the state of completion - unless otherwise specified in this agreement. Such items shall be delivered to the State of Vermont upon 30 days notice by the State. With respect to software computer programs and / or source codes first developed for the State, all the work shall be considered "work for hire," i.e., the State, not the Contractor or subcontractor, shall have full and complete ownership of all software computer programs, documentation and/or source codes developed.

The Contractor shall not sell or copyright a work product or item produced under this agreement without explicit permission from the State.

If the Contractor is operating a system or application on behalf of the State of Vermont, then the Contractor shall not make information entered into the system or application available for uses by any other party than the State of Vermont, without prior authorization by the State. Nothing herein shall entitle the State to pre-existing Contractor's materials.

11. **Security and Data Transfers.** The State shall work with the Contractor to ensure compliance with all applicable State and Agency of Human Services' policies and standards, especially those related to privacy and security. The State will advise the Contractor of any new policies, procedures, or protocols developed during the term of this agreement as they are issued and will work with the Contractor to implement any required.

The Contractor will ensure the physical and data security associated with computer equipment - including desktops, notebooks, and other portable devices - used in connection with this agreement. The Contractor will also assure that any media or mechanism used to store or transfer data to or from the State includes industry standard security mechanisms such as continually up-to-date malware protection and encryption. The Contractor will make every reasonable effort to ensure media or data files transferred to the State are virus and spyware free. At the conclusion of this agreement and after successful delivery of the data to the State, the Contractor shall securely delete data (including archival backups) from the Contractor's equipment that contains individually identifiable records, in accordance with standards adopted by the Agency of Human Services.

12. **Computing and Communication:** The Contractor shall select, in consultation with the Agency of Human Services' Information Technology unit, one of the approved methods for secure access to the State's systems and data, if required. Approved methods are based on the type of work performed by the Contractor as part of this agreement. Options include, but are not limited to:

1. Contractor's provision of certified computing equipment, peripherals and mobile devices, on a separate Contractor's network with separate internet access. The Agency of Human Services' accounts may or may not be provided.
2. State supplied and managed equipment and accounts to access state applications and data, including State issued active directory accounts and application specific accounts, which follow the National Institutes of Standards and Technology (NIST) security and the Health Insurance Portability & Accountability Act

(HIPAA) standards.

The State will not supply e-mail accounts to the Contractor.

13. **Lobbying.** No federal funds under this agreement may be used to influence or attempt to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, continuation, renewal, amendments other than federal appropriated funds.
14. **Non-discrimination.** The Contractor will prohibit discrimination on the basis of age under the Age Discrimination Act of 1975, on the basis of handicap under section 504 of the Rehabilitation Act of 1973, on the basis of sex under Title IX of the Education Amendments of 1972, or on the basis of race, color or national origin under Title VI of the Civil Rights Act of 1964. No person shall on the grounds of sex (including, in the case of a woman, on the grounds that the woman is pregnant) or on the grounds of religion, be excluded from participation in, be denied the benefits of, or be subjected to discrimination, to include sexual harassment, under any program or activity supported by state and/or federal funds.

The Contractor will also not refuse, withhold from or deny to any person the benefit of services, facilities, goods, privileges, advantages, or benefits of public accommodation on the basis of disability, race, creed, color, national origin, marital status, sex, sexual orientation or gender identity under Title 9 V.S.A. Chapter 139.

15. **Environmental Tobacco Smoke.** Public Law 103-227, also known as the Pro-children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, child care, early childhood development services, education or library services to children under the age of 18, if the services are funded by federal programs either directly or through state or local governments, by federal grant, contract, loan or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds.

The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable federal funds is Medicare or Medicaid; or facilities where Women, Infants, & Children (WIC) coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

Contractors are prohibited from promoting the use of tobacco products for all clients. Facilities supported by state and federal funds are prohibited from making tobacco products available to minors.